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PTO/SB/29 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

8854-0006

Total Pages

First Named Inventor or Application Identifier

Robert A. Ferstenberg

Express Mail Label No.

EM 061 017 795 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

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Box Patent Application
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1. ☒ Fee Transmittal Form
Submit an original, and a duplicate for fee processing
2. ☒ Specification [Total Pages 132]
(preferred arrangement set forth below)

-Descriptive title of the Invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R&D
-Reference to Microfiche Appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Claim(s)
-Abstract of the Disclosure

- ☒ Drawing(s) (35 USC 113) [Total Sheets 11]

- ☒ Oath or Declaration [Total Sheets 2]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

- i. ☐ DELETION OF INVENTORS(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

- ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment and Remarks Accompanying Filing
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application, Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. **if a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Nos: 08/856,741 filed on 05/15/97

18. CORRESPONDENCE ADDRESS

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ATTORNEY DOCKET NO. 8854-0006Date December 11, 1998

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application (continuation of 08/856,741) is enclosed for filing:

Applicant(s): Robert A. Ferstenberg et al.Executed on: May 12 and 13, 1997

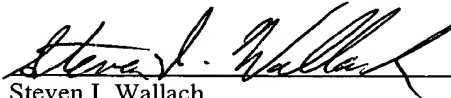
Title of Invention: COMPUTER METHOD AND SYSTEM FOR
INTERMEDIATED EXCHANGE OF COMMODITIES

Pages of Specification 132Sheets of Drawings 11**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	1	-20	0	\$22.00 each	0.00
Independent	0	-3	0	\$82.00 each	0.00
Minimum Fee					790.00
Multiple Dependency Fee If Applicable (\$270.00)					
Total					790.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					
Total Filing Fee					\$ 790.00

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,


 Steven I. Wallach
 PENNIE & EDMONDS LLP

35,402
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Enclosure

This form is not for use with re-issue, design or plant patent applications.